

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/655,920
Filing Date	09/05/2003
First Named Inventor	Parsons, Craig A.
Group Art Unit	Unknown 2883
Examiner Name	Unknown Derek L. Dupuis

Attorney Docket No: 1010.8126UU

Sheet 1 of 2

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
DLD	RE 37,044	02/06/2001	Wu			
DLD	4,213,677	07/22/1980	Sugimoto et al.	385	34	
DLD	4,474,424	10/02/1984	Wagner	385	24	
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DLD	5,539,577	07/23/1996	Si et al.	359	629	
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DLD	5,781,341	07/14/1998	Lee	359	578	
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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
DLD	JP 09304647 A	11/1997	Kato et al.	602B	61293	No
DLD	JP 2001147341A	05/2001	Kato et al.	602B	61293	No

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DLD		U.S. Patent Application No. 10/655,735 - "Method for Face-Mounting Optical Components and Devices"	Yes

EXAMINER

DLD 2. J

DATE CONSIDERED

11/16/2004

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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D.L. Dupuis

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